

## Claims

- [c1] 1. An optical coupling system for coupling optical energy between optical devices, the system comprising:  
a waveguide receptive of N-mode radiation from a radiation source where N is an integer;  
the waveguide comprising:  
a first section receptive of the N-mode radiation from the optical beam redirection device and having a thickness of h;  
a second section having a thickness of t wherein t is less than h; and  
a tapered section having a first end thereof with a thickness of h joined with the first waveguide section and a second end thereof with a thickness of t joined with the second waveguide section for coupling the N-mode radiation from the first waveguide section to the second waveguide section.
- [c2] 2. The optical coupling system as set forth in Claim 1 further comprising an optical beam redirection device receptive of the N-mode radiation from the radiation source for directing the N-mode radiation to the first waveguide section.
- [c3] 3. The optical coupling system as set forth in Claim 1 wherein h is approximately 10–100  $\mu\text{m}$  and t is approximately 2–10  $\mu\text{m}$ .
- [c4] 4. The optical coupling system as set forth in Claim 1 wherein the tapered section has a length of approximately 100–1000  $\mu\text{m}$ .
- [c5] 5. The optical coupling system as set forth in Claim 1 wherein the first end of the tapered section includes a first aperture and the second end of the tapered section includes a second aperture substantially parallel to the first aperture wherein the first and second apertures are spaced apart from one another so that the tapered section subtends a first angle,  $\alpha$ , of about 5–10 degrees and a second angle,  $\beta$ , perpendicular to the first angle,  $\alpha$ , of about 5–10 degrees at the second waveguide section.
- [c6] 6. The optical coupling system as set forth in Claim 2 wherein the optical beam redirection device comprises a prism.

- [c7] 7.The optical coupling system as set forth in Claim 2 wherein the optical beam redirection device comprises a lens or a diffraction grating.
- [c8] 8.The optical coupling system as set forth in Claim 1 further comprising a cladding having a refractive index of  $n_w$  and encasing the waveguide having a refractive index of  $n_c$ ; wherein  $n_w$  is less than  $n_c$ .
- [c9] 9.The optical coupling system as set forth in Claim 1 wherein the first waveguide section and the tapered section are defined by a refractive index,  $n_w$ , and the second waveguide section is defined by a refractive index,  $n_c$ , and wherein  $n_c$  is greater than  $n_w$ .
- [c10] 10.The optical coupling system as set forth in Claim 9 wherein the second waveguide section is a cladding encasing the optical beam redirection device, the first waveguide section and the first tapered section.
- [c11] 11.The optical coupling system as set forth in Claim 9 wherein the second waveguide section further comprises a top-layer tapered section wherein the refractive index difference between the second waveguide section and the tapered section is extended from an upper surface of the second waveguide section to a point along the tapered section.
- [c12] 12.The optical coupling system as set forth in Claim 9 wherein the second waveguide section includes a segment thereof positioned within the first tapered section.
- [c13] 13.The optical coupling system as set forth in Claim 12 wherein the segment of the second waveguide section positioned within the first tapered section is a wedge having a triangular in cross section including a base with a length  $t$  joined with the second waveguide section and an angled apex opposed to the base;  
wherein the wedge is receptive of the N-mode radiation from the first tapered section for coupling the N-mode radiation from the first waveguide section to the second waveguide section.
- [c14] 14.The optical coupling system as set forth in Claim 13 wherein the triangular

cross section is inclined with respect to the second waveguide section.

- [c15] 15.The optical coupling system as set forth in Claim 9 wherein the second waveguide section includes a segment thereof positioned within the first tapered section and the first waveguide section.
- [c16] 16.The optical coupling system as set forth in Claim 13 wherein the angled of the wedge includes an angle of about 5–10 degrees.
- [c17] 17.The optical coupling system as set forth in Claim 13 wherein the wedge includes a length of about 100–1000  $\mu\text{m}$ .
- [c18] 18.An optical coupling system for coupling optical energy between optical devices, the system comprising:  
a first waveguide having a thickness of  $c$  and a refractive index of  $n_w$ , and  
receptive of the N-mode radiation from a radiation source along an axis;  
a second waveguide having a segment thereof positioned within the first waveguide and having a thickness of  $t$ , wherein  $t$  is less than  $c$  and a refractive index of  $n_c$  wherein  $n_c$  is greater than  $n_w$ .
- [c19] 19.The optical coupling system as set forth in Claim 18 further comprising an optical beam redirection device receptive of the N-mode radiation from the radiation source for directing the N-mode radiation to the first waveguide  
 $N$  is an integer.
- [c20] 20.The optical coupling system as set forth in Claim 18 wherein the segment of the second waveguide positioned within the first waveguide includes a wedge having a triangular in cross section including a base with a length  $t$  joined with the second waveguide section and an angled apex opposed to the base;  
wherein the wedge is receptive of the N-mode radiation from the optical beam redirection device for coupling the N-mode radiation from the optical beam redirection device to the second waveguide section.
- [c21] 21.The optical coupling system as set forth in Claim 20 wherein the wedge is inclined with respect to the second waveguide section.
- [c22] 22.The optical coupling system as set forth in Claim 20 wherein the angled

of the wedge includes an angle of about 5–10 degrees.

- [c23] 23.The optical coupling system as set forth in Claim 20 wherein the wedge includes a length of about 100-1000  $\mu\text{m}$ .
- [c24] 24.The optical coupling system as set forth in Claim 19 wherein a segment of the first waveguide is truncated by a distance d.
- [c25] 25.The optical coupling system as set forth in Claim 19 wherein the second waveguide is offset from the axis of the N-mode radiation by a distance r.
- [c26] 26.An optical coupling system for coupling optical energy between optical devices, the system comprising:  
an optical beam redirection device receptive of N-mode radiation from a radiation source where N is an integer;  
a waveguide having a refractive index of  $n_w$  and receptive of the N-mode radiation from the optical beam redirection device along an axis;  
the waveguide comprising:  
a first section receptive of the N-mode radiation from the optical beam redirection device;  
a tapered section receptive of the N-mode radiation from the first waveguide section; and  
a third section positioned within the tapered section, the third section having a refractive index of  $n_s$  and receptive of the N-mode radiation from the tapered section;  
wherein  $n_s$  is greater than  $n_w$ .
- [c27] 27.The optical coupling system as set forth in Claim 26 wherein the third waveguide section is offset from the axis of the N-mode radiation by a distance r.
- [c28] 28.A waveguide device comprising:  
a first aperture having a first cross sectional area and receptive of optical radiation;  
a second aperture having a second cross sectional area less than the first cross sectional area and receptive of the optical radiation from the first aperture.

- [c29] 29.The waveguide device as set forth in Claim 28 wherein the waveguide device defines first and second angles between the first and second apertures wherein the first angle,  $\alpha$  , is about 5–10 degrees and the second angle,  $\beta$  , perpendicular to the first angle,  $\alpha$  , is about 5–10 degrees.
- [c30] 30.The waveguide device as set forth in Claim 28 wherein the waveguide device has a length of approximately 100–1000  $\mu\text{m}$ .
- [c31] 31.An optical coupling system for coupling optical energy between optical devices, the system comprising:  
an optical beam redirection device receptive of N-mode radiation from a radiation source where N is an integer;  
a waveguide receptive of the N-mode radiation from the optical beam redirection device;  
the waveguide comprising:  
a first section receptive of the N-mode radiation from the optical beam redirection device and having a thickness of h;  
a tapered section having a first end thereof with a thickness of h joined with the first waveguide section and a second end thereof with a thickness of t for coupling the N-mode radiation from the first waveguide section to a second waveguide.
- [c32] 32.The optical coupling system as set forth in Claim 31 wherein the first end of the tapered section includes a first aperture and the second end of the tapered section includes a second aperture substantially parallel to the first aperture wherein the first and second apertures are spaced apart from one another so that the tapered section subtends a first angle,  $\alpha$  , of about 5–10 degrees and a second angle,  $\beta$  , perpendicular to the first angle,  $\alpha$  , of about 5–10 degrees at the second waveguide.
- [c33] 33.An optical coupling system for coupling optical energy between optical devices, the system comprising:  
a radiation source;  
an optical beam redirection device positioned a prescribed distance from the radiation source and receptive of N-mode radiation therefrom where N is an

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integer;
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a waveguide receptive of the N-mode radiation from the optical beam

redirection device:

the waveguide comprising:

a first section receptive of the N-mode radiation from the optical beam

redirection device and having a thickness of  $h$ :

a second section having a thickness of  $t$  wherein  $t$  is less than  $h$ ; and

a tapered section having a first end thereof with a thickness of  $h$  joined with the first waveguide section and a second end thereof with a thickness of  $t$  joined with the second waveguide section for coupling the  $N$ -mode radiation from the first waveguide section to the second waveguide section.

[c34] 34. The optical coupling system as set forth in Claim 33 wherein the first end of the tapered section includes a first aperture and the second end of the tapered section includes a second aperture substantially parallel to the first aperture wherein the first and second apertures are spaced apart from one another so that the tapered section subtends a first angle,  $\alpha$ , of about 5–10 degrees and a second angle,  $\beta$ , perpendicular to the first angle,  $\alpha$ , of about 5–10 degrees at the second waveguide section.